

Last review date 03/11/2023
 Effective from 15/11/2023

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**FEES AND COSTS
 FOR LEGAL
 ENTITIES**

**NGDEM Global
 Limited**

	Type A	Type B	Type C
Brokerage Services			
Application Fee – 200 USD (or equivalent)			
WARNING!			
Application fee payment does not guarantee successful onboarding within NGDEM Global Limited.			
Purchase/sale of securities on KASE	0,05% from the transaction amount (MIN 5 000 tenge)	0,05% from the transaction amount (MIN 10 000 tenge)	0,05% from the transaction amount (MIN 15 000 tenge)
Buying/selling securities on AIX	0,05% from the transaction amount (MIN 5 000 tenge)	0,05% from the transaction amount (MIN 10 000 tenge)	0,05% from the transaction amount (MIN 20 000 tenge)
Purchase/sale of securities on the OTC market	0,1% from the transaction amount (MIN 2 000 tenge)	0,2% from the transaction amount (MIN 10 000 tenge)	0,5% from the transaction amount (MIN 20 000 tenge)
Purchase/sale of securities in an unorganised market	0,1% from the transaction amount (MIN 10 000 tenge)	0,1% from the transaction amount (MIN 20 000 tenge)	0,1% from the transaction amount (MIN 30 000 tenge)
REPO operations on (KASE)	1% from the difference in the volume of opening and closing repos, MIN 5 000 tenge	1% from the difference in the volume of opening and closing repos, MIN 5 000 tenge	1% from the difference in the volume of opening and closing repos, MIN 5 000 tenge
REPO operations on the international market	2% from the difference in the volume of opening and closing REPO, min 10 000 tenge	2% from the difference in the volume of opening and closing REPO, min 10 000 tenge	2% from the difference in the volume of opening and closing REPO, min 10 000 tenge

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Changing REPO parameters (Prolongation, early closure, etc.)	5 000 tenge	5 000 tenge	5 000 tenge
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Nominee-holding services			
Opening/closing of a personal account	Free	Free	Free
Maintaining a personal account	Free. If there are no transactions on the account for 90 days or more, 5 000 tenge per month, but not more than the remaining money on the Client's account.	Free. If there are no transactions on the account for 90 days or more, 20 000 tenge per month, but not more than the remaining money on the Client's account.	Free. If there are no transactions on the account for 90 days or more, 50 000 tenge per month, but not more than the remaining money on the Client's account.
Crediting of securities (without money movement)	Free	Free	Free
Write-off of securities (without money movement)	5 000 tenge for each order, including unfulfilled order	10 000 tenge for each order, including unfulfilled order	15 000 tenge for each order, including unfulfilled order
Debiting/crediting of securities under the Contractual	0.05% of the transaction amount for each order, including unfulfilled, min 10 000 tenge, max 100 000 tenge	0.05% of the transaction amount for each order, including unfulfilled, min 50 000 tenge, max 200 000 tenge	0.05% of the transaction amount for each order, including unfulfilled, min 50 000 tenge, max 500 000 tenge

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Agreement			
Changing the holding location within the Broker without changing ownership rights. The transfer from and to the KASE section is free of charge, carried out without orders	1 000 tenge for each order	2 000 tenge for each order	5 000 tenge for each order
Encumbrance of securities	0.05% from the transaction value, min 10 000 tenge and max 100 000 tenge	0.05% from the transaction value, min 50 000 tenge and max 200 000 tenge	0.05% from the transaction value, min 50 000 tenge and max 500 000 tenge
Currency exchange	1 000 tenge for one order	2 000 tenge for one order	5 000 tenge for one order
Receipt of payments on securities, payments on which were made in an alternative way, different from the prospectus*	5% of the value	5% of the value	5% of the value

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Provision of a report (personal account statements, account movement)	Free once a month	Free once a month	Free once a month
Provision of reports on request (personal account statements, account movement)	3 000 tenge per each	6 000 tenge per each	9 000 tenge per each
Voting on corporate actions by proxy	10 000 tenge	20 000 tenge	30 000 tenge
Conversion of a financial instrument (from a depositary receipt to ordinary shares, from common shares to depositary receipts)	5 tenge per each 1 GDR, min 10 000 tenge	10 tenge per each 1 GDR, min 10 000 tenge	20 tenge per each 1 GDR, min 10 000 tenge
Conversion of a financial instrument issued by Russian entities or	2% of the base asset's value at the date of receiving an order	3% of the base asset's value at the date of receiving an order	3% of the base asset's value at the date of receiving an order

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entities of Russian origin (from a depositary receipt to ordinary shares, from common shares to depositary receipts)			
Conversion of a financial instrument issued by Russian entities or entities of Russian origin (from a depositary receipt to ordinary shares, from common shares to depositary receipts)	2% of the base asset's value at the date of receiving an order	3% of the base asset's value at the date of receiving an order	3% of the base asset's value at the date of receiving an order
Write-off/crediting of financial instruments during inheritance registration	Free	Free	Free

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Withdrawal of funds from the Broker	5 000 tenge per each order	10 000 tenge per each order	20 000 tenge per each order
Assistance in filling out orders	2 000 tenge	4 000 tenge	6 000 tenge

Notes:

- Tariffs are valid from the date of approval by the Senior Management of NGDEM Global Limited.
 - The new tariffs only apply to transactions concluded before the effective date of the tariff, as well as to transactions with funds received from such transactions once the settlement is completed.
 - Please note that the tariffs mentioned do not include tariffs charged by third parties, such as JSC "Kazakhstan Stock Exchange", JSC "Central Securities Depository", custodian banks, second-tier banks, AIX, AIX CSD and other professional market participants. These charges are to be paid separately by the client, based on invoices issued by the Firm. However, commissions of international intermediary brokers, stamp duties of foreign exchanges, and other commissions included in the number of transactions are not included in these charges. It is important to note that any duties, taxes, and fees on transactions that are withheld as per the country's legislation in which the transactions are carried out are not the responsibility of the Company. These charges are to be paid by the Client.
 - Invoices for payment are paid by the Client in the currency specified in the invoice.
- * Many Russian companies are facing challenges in fulfilling their obligations under Eurobonds or other securities due to disruptions in the international payment infrastructure. To safeguard the interests of bondholders, these issuers are making changes to the payment mechanisms, enabling them to make payments on bonds through alternative routes as determined by the investors themselves.

Type A:	Type B	Type C
Residents of the Republic of Kazakhstan;	Non-residents (except for the list of offshore zones issued by the National Bank of Kazakhstan and High-Risk Jurisdictions subject to a Call for Action and Jurisdictions under Increased Monitoring published by FATF) with the date of incorporation of more than one year.	Non-residents whose date of incorporation is less than one year.

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		Non-residents included in the Jurisdictions under Increased Monitoring by FATF or the offshore zones listed by the National Bank of Kazakhstan.
		List of debtors from the Ministry of Justice of the Republic of Kazakhstan and other state bodies.
		An entity controlled more than 50% by a resident of FATF-monitored jurisdictions (Jurisdictions under Increased Monitoring).
		Entities or Jurisdictions assigned High risk profiles following the Firm's AML/CFT Policy

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Jurisdictions assigned High-risk profiles following the Firm's AML/CFT Policy

Jurisdictions

Jurisdiction
IRAN (ISLAMIC REPUBLIC OF)
KOREA (DEMOCRATIC PEOPLE'S REPUBLIC OF), North Korea
MYANMAR
RUSSIAN FEDERATION
AFGHANISTAN
BELARUS
UKRAINE